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| APPLICATION NO.                                     | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|---|-----------------|----------------------|-------------------------|------------------|--|
| 10/530,904  | 12/23/2005      | Eric Van Quaquebeke  | DECLE61.003APC          | 9951             |  |
| 20995   | 7590 11/15/2006 |                      | EXAM                    | INER             |  |
| KNOBBE MARTENS OLSON & BEAR LLP<br>2040 MAIN STREET |                 |                      | BADIO, BA               | BADIO, BARBARA P |  |
| FOURTEEN  |                 |                      | ART UNIT                | PAPER NUMBER     |  |
| IRVINE, CA  | A 92614         | 1617                 |                         |                  |  |
|   |                 |                      | DATE MAILED: 11/15/2006 | 6                |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.  | Applicant(s)  |  |  |  |  |
|--|--|---|--|--|--|--|
| Office Action Summer   | 10/530,904   | QUAQUEBEKE ET AL.   |  |  |  |  |
| Office Action Summary  | Examiner   | Art Unit  |  |  |  |  |
|  | Barbara P. Badio, Ph.D.  | 1617  |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply   | ears on the cover sheet with the d   | correspondence address  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be tiruly apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | N. mely filed the mailing date of this communication. ED (35 U.S.C. § 133). |  |  |  |  |
| Status   |  |   |  |  |  |  |
| 1) Responsive to communication(s) filed on   |  |   |  |  |  |  |
|  | action is non-final.   |   |  |  |  |  |
| ·  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is  |   |  |  |  |  |
|  | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |   |  |  |  |  |
| ·  |  |   |  |  |  |  |
| Disposition of Claims  |  |   |  |  |  |  |
| 4)⊠ Claim(s) <u>1-28,30 and 32</u> is/are pending in the a   | 4)⊠ Claim(s) <u>1-28,30 and 32</u> is/are pending in the application.  |   |  |  |  |  |
| 4a) Of the above claim(s) is/are withdraw  | 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |  |  |  |  |
| 5) Claim(s) is/are allowed.  |  |   |  |  |  |  |
| 6)⊠ Claim(s) <u>1-28,30 and 32</u> is/are rejected.  |  |   |  |  |  |  |
| 7) Claim(s) is/are objected to.  | Claim(s) is/are objected to.   |   |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or  | r election requirement.  |   |  |  |  |  |
| Application Papers   |  |   |  |  |  |  |
| 9) The specification is objected to by the Examine   | r.   | ·   |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.   |  |   |  |  |  |  |
| Applicant may not request that any objection to the  | drawing(s) be held in abeyance. Se   | e 37 CFR 1.85(a).   |  |  |  |  |
| Replacement drawing sheet(s) including the correct   | ion is required if the drawing(s) is ob  | ojected to. See 37 CFR 1.121(d).  |  |  |  |  |
| 11)☐ The oath or declaration is objected to by the Ex  | aminer. Note the attached Office   | Action or form PTO-152.   |  |  |  |  |
| Priority under 35 U.S.C. § 119   |  |   |  |  |  |  |
| 12)⊠ Acknowledgment is made of a claim for foreign a)⊠ All b)□ Some * c)□ None of:   | priority under 35 U.S.C. § 119(a   | a)-(d) or (f).  |  |  |  |  |
|  | 1. Certified copies of the priority documents have been received.  |   |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |  |   |  |  |  |  |
|  | 3. Copies of the certified copies of the priority documents have been received in this National Stage  |   |  |  |  |  |
| application from the International Bureau  | ` ' ' '  |   |  |  |  |  |
| * See the attached detailed Office action for a list   | of the certified copies not receive  | ed.   |  |  |  |  |
|  |  |   |  |  |  |  |
| Attachment(s)  |  |   |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  | 4) Interview Summary   | / (PTO-413)   |  |  |  |  |
| 2) DNotice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail D   | ate   |  |  |  |  |
| Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 4/8/2003.  5) ☐ Notice of Informal Patent Application 6) ☐ Other:   |  |   |  |  |  |  |
| · · · · · · · · · · · · · · · · · · ·  | -/   |   |  |  |  |  |

## **First Office Action on the Merits**

## Claim Rejections - 35 USC § 112

1. Claims 30 and 32 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The factors to be considered in determining whether a disclosure meets the enablement requirement of 35 USC 112, first paragraph, have been described in In re Wands, 8 USPQ2d 1400 (Fed. Cir. 1988). Among these factors are (1) the nature of the invention, (2) the breadth of the claims, (3) the state of the prior art, (4) the predictability or unpredictability of the art, (5) the amount of guidance or direction presented, (6) the presence or absence of working examples, (7) the relative skill in the art and (8) the quantity of experimentation necessary. When the above factors are taken into consideration, the examiner's position is that one skilled in the art could not perform the invention commensurate in scope with the instant claim without undue experimentation.

The instant claims recite the treatment of cancer utilizing the claimed compound or composition containing said compounds. The instant claims are extremely broad due to the vast number of possible cancer types.

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The cancer art is highly unpredictable and there is no known anticancer agent that is effective against all cancer types. Therefore, the skilled artisan in the art would not expect the claimed compounds to be effective in treating all forms of cancer. The present specification does not enable the skilled artisan in the cancer art to use the invention commensurate in scope with the instant claims. Because of the lack of adequate guidance from the present specification or the prior art with regard to the actual treatment of all cancer cells in a mammal, including a human subject, with the claimed compounds, practice of the claimed invention would result in undue experimentation. Applicant's limited disclosure in Example 3 of the present specification is noted but is not sufficient to provide enablement for treatment of cancer broadly.

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 1-28, 30 and 32 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The instant claims are indefinite for the following reasons:

- (a) The instant claims recite but do not defined Het<sup>1</sup>, Het<sup>2</sup> and s (see for example, claim 1, page 3 and page 4, line 25, C(O)sR<sup>8</sup>).
  - (b) Claims 24 and 25 recite but do not defined formula I, R1, R2, R3 etc. and
- (c) Claim 24 is dependent on the present specification to give it meaning.

  However, although, a claim is interpretated in light of the specification, the general rule

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is that the claim should be self-contained. That is, it should not expressly rely upon the specification to give it meaning. <u>Ex parte Fressola</u>, 27 USPQ 2d 1609 (BPAI 1993).

For the reasons given above, the instant claims are indefinite.

## Telephone Inquiry

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Barbara P. Badio, Ph.D. whose telephone number is 571-272-0609. The examiner can normally be reached on M-F from 6:30am-4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreenivasan Padmanabhan can be reached on 571-272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Barbara P. Badio, Ph.D.

**Primary Examiner** 

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BB

November 13, 2006